
NAME OF APPLICANT: _____

1. Please confirm that the applicant derives greater than 50% of their revenue from the US Federal Government? Yes No

a. If yes, provide % of overall revenue: _____

b. Any product or service provided to state or local governments? Yes No

2. Please describe the specific product or service provided to the Federal Government.

3. Any contract or legal disputes, or Quality Deficiency Reports (QDRs) within the last three years? Yes No

4. Does the applicant or any other person or organization under its control provide product or service relating to any of the following: Yes No

- a. Physical or electronic security service;
- b. Spacecraft, aircraft including UAS or UAV;
- c. Watercraft; rail or vehicle transportation;
- d. Missile or munition devices or systems;
- e. Body or vehicle armor;
- f. Radar avoidance systems;
- g. Chemical, petrochemical, oil, gas, civil, electrical, mechanical or nuclear engineering;
- h. Veteran or veteran affairs service

5. Does the applicant manufacture or sell any product that emits or generates electromagnetic fields (EMF)? Yes No

6. Does the applicant have product or services deemed classified by the US Department of Defense or other National Security Agency? Yes No

a. What % of applicant's employees have Federal Security Clearance? _____

b. What % of applicant's employees currently work in a Federal, Military or National Security Facility?

7. Does the applicant have exposures to munitions, weapons, or firing ranges? Yes No

8. Does the applicant travel to countries where the US State Department has current travel warnings?
(To verify visit travel.state.gov) Yes No

9. Does the applicant primarily conduct business as a sub-to-prime contractor? Yes No

a. If a sub-prime, does the applicant ensure that the appropriate Federal Acquisition Regulation (FAR) provisions are included in their commercial contracts with the prime? Yes No

b. Does the applicant have an experienced FAR Contract Manager or Legal Counsel to ensure that these provisions are included? Yes No

i. If yes, please describe their experience working with FAR contracts:

10. Does the applicant hold any quality certifications? (check all that apply)

ISO9001:200

VDA 6.1

Other (specify)

QS-9000

AVSQ

ISO/TS 16949

EAQF

11. Does the applicant have more than 50 gallons of flammable liquid or solvent on site? Yes No

a. If yes, where and how is it stored? _____

12. Does the applicant have any individual machine with a replacement cost in excess of \$500,000? Yes No

13. Does any single machine represent more than 50% of the applicant's production capability? Yes No

14. Has all machinery and equipment been maintained in accordance with the OEM specifications? Yes No

15. Does the applicant operate any one of a kind or prototype production equipment? Yes No

Errors & Omissions Supplemental Questions

Please only answer these questions if applicant is requesting Errors & Omissions coverage.

1. Does the applicant currently purchase Errors & Omissions insurance to address the failure of their product or service? Yes No

2. If yes, please provide:
 - a. Limit of insurance: _____
 - b. Retroactive date: _____
 - c. Deductible/SIR: _____
 - d. Carrier: _____

3. What aggregate limit of insurance is being requested? _____

4. What deductible/SIR is being requested? _____
 - a. Indicate if SIR:

5. What Retroactive Date is being requested? _____

6. E&O Class Code: _____

Errors & Omissions – Revenue

1. What % of the applicant's revenues comes from consulting or custom work? _____

2. Please confirm the projected annual revenues for which this policy term will be based: _____

3. What is the number of different product or services offered by the applicant? _____

4. What % of the applicant's revenue is derived from Municipal or State Governments? _____

5. Does the applicant have foreign (non-USA, its possessions or territories) revenues? Yes No
 - a. If yes, please describe:

6. How many times in the past three years has the applicant generated a profit? _____

Errors & Omissions – Account Activity Experience

1. Has the applicant experienced a demand for damages or a stop payment from a customer within the past 3 years? Yes No
2. If applicable, has the applicant's insurance policy responded to the demand for damages, paid a defense expense or claim within the past 3 years? Yes No
3. Any self-funded defense expenses made by the applicant to address the actual or alleged failure of the applicant's product or service? Yes No
4. The applicant or agent has knowledge or is aware of a circumstance which may reasonably be expected to result in a claim? Yes No

Errors & Omissions – Contracts and Contract Management

1. Does the applicant have a contract manager on staff that specializes in FAR contracts? Yes No
 - a. If yes, select experience range in dealing with these contracts: _____
2. What is the applicant's average contract value? _____
3. What is the applicant's average contract length in months? _____
4. Does the applicant have any active contract valued greater than \$2,500,000 or 24-months? Yes No
 - a. If yes, please describe: _____
5. As part of the applicant's contract management process, please confirm if the applicant's contracts or purchase orders (POs) include the following provisions:
 - a. Limitation of liability
 - b. Disclaimer of Warranty
 - c. Scope of Work Modification
 - d. Dispute Resolution Provision
 - e. Does not accept Consequential or indirect damages
 - f. Use of applicant's standard contract or POs at least 95% of the time
6. Does the applicant use legal counsel to:
 - a. Draft standard contracts or POs
 - b. Review modifications of contracts or customer contracts of their customers

Errors & Omissions – Services and/or Products

1. Does the applicant capture, transmit, process or store nonpublic personal information (NPI) or protected health information (PHI)? Yes No
2. Is the applicant's product embedded into medical technology? Yes No
 - a. If yes, please describe: _____
3. Is the applicant required to be PCI DSS Compliant? (*Payment Card Industry Data Security Standard*) Yes No
4. If the applicant's customer is primarily dependent on the product or service provided by the applicant, does the applicant have a contingency plan in place to address this exposure? Yes No
5. Are the applicant's products or services used in personal protective equipment, aircraft, spacecraft, missile, munition devices or systems? Yes No
 - a. If yes, please describe: _____
6. Does the applicant provide surveillance or security products or services? Yes No
7. Does the applicant sell or manufacture products that emit or generate electromagnetic fields (EMF)? Yes No
8. If applicable, total units sold in the last five years sales of largest single customer oriented product line:
